



membership . <membership@apmiindia.org>

APMI- Important Communication- Distributor Code of Conduct

1 message

APMI India <apmi@apmiindia.org>
To: APMI India <apmi@apmiindia.org>
Bcc: membership@apmiindia.org

Wed, Apr 9, 2025 at 6:10 PM

To: The Principal Officers & Compliance Officers of APMI Members

Dear All,

Greetings from APMI !

This is in reference to point 2.4 of the PMS Master Circular- Supervision of Distributors in the PMS Master Circular dated June 7, 2024 and point 2.4.1.6 which states that: "Ensure that, within 15 days from the end of every financial year, a self-certification is also received from distributors with regard to compliance with the Code of Conduct."

In accordance with the above, APMI had made the following submission to SEBI:

1. An *Aadhaar-based e-signed Code of Conduct* was submitted by the Distributors at the time of registration with APMI, which formed an integral part of the APMI Distributor Registration Form.
2. A utility titled **Download Distributor Compliance Certificate** is available for APMI Members under their login which allows them to download the Compliance Certificate for the respective distributor- Which includes all relevant details in addition to the date & time of aadhar based esign.
3. Based on the above, APMI requested SEBI to accept this **Compliance Certificate** towards fulfilment of the requirement stated in Point 2.4.1.6. which is due by April 15, 2025.

Please be informed that **SEBI has approved our submission** and APMI Members can submit this *Compliance Certificate* to SEBI towards fulfilment of the requirement stated in Point 2.4.1.6.

Kindly take a note of the same.

Warm Regards

Association of Portfolio Managers in India

Contact us at: <https://www.apmiindia.org/apmi/contactus.htm>

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